

Form No. MGT 9
Extract of Annual Return as on the financial year ended 31 March 2018

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

Registration and other details

Corporate Identity Number (CIN) of the Company	U 6 6 0 0 0 K A 2 0 1 6 P T C 0 9 5 5 5 9
Registration date	05 August 2016
Name of the Company	Anviti Insurance Brokers Private Limited
Category / Sub-category of the Company	Company limited by shares/Non-govt company
Address of the Registered Office and contact details	Unit 102, 1st Floor, The Estate, #121, Dickenson Road, Bengaluru-560042, Karnataka, India. Tel: +91 80 4611 3601
Whether listed company (Yes/ No)	No
Name, address and contact details of Registrar & Transfer Agents (RTA), if any	Not Applicable

Principal business activities of the Company

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products / services	NIC Code of the product/ service	% to total turnover of the company
1	To provide all types of insurance and reinsurance broking services	6622	100%

Particulars of holding, subsidiary and associate companies

Sr. No.	Name and address of the company	CIN/ GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable section
1	Name: Catamaran Ventures LLP Address:#703, Tathagata, 47th A Cross 9th Main, Jayanagar 5th Block, Bengaluru-560041 , Karnataka, India.	AAF-82 43	Holding	99.9998	2(46)

Shareholding pattern (Equity share capital breakup as percentage of total equity)
(i) Category-wise shareholding

Sr. No.	Category of shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
(A)	Promoters									
(1)	Indian									
	(a) Individual/HUF	- 0	100	100	0.001	- 0	100	100	0.0002	(0.0008)
	(b) Central Govt.	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(c) State Govt. (s)	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(d) Bodies Corp.	- 0	9,999,900	9,999,900	99.999	- 0	4,49,99,900	4,49,99,900	99.9998	0.0008
	(e) Banks / FI	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(f) Any other	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Sub-total (A) (1):-	- 0	1,00,00,000	1,00,00,000	100	- 0	4,50,00,000	4,50,00,000	100	0
(2)	Foreign									
	(a) NRIs - Individuals	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(b) Other - Individuals	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(c) Bodies Corp.	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(d) Banks / FI	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(e) Any other	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Sub-total (A) (2):-	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Total shareholding (A) = (A)(1)+(A)(2)	- 0	1,00,00,000	1,00,00,000	100	- 0	4,50,00,000	4,50,00,000	100	0
(B)	Public shareholding									
(1)	Institutions									
	(a) Mutual Funds	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(b) Banks / FI	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(c) Central Govt.	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(d) State Govt.(s)	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(e) Venture Capital Funds	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(f) Insurance Companies	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(g) FIIs	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(h) Foreign Venture Capital Funds	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(i) Others (specify)	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Sub-total (B)(1):-	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
(2)	Non-Institutions									
	(a) Bodies Corp.	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(i) Indian	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(ii) Overseas	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(b) Individuals	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	(c) Others (specify)	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Sub-total (B)(2):-	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Total public shareholding (B)=(B)(1)+(B)(2)	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
(C)	Shares held by custodian for GDRs & ADRs	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0	- 0
	Grand total (A+B+C)	- 0	1,00,00,000	1,00,00,000	100	- 0	4,50,00,000	4,50,00,000	100	0

(ii) Shareholding of promoters

Sr.No.	Shareholder's name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change during the year
		No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	
1	*Catamaran Advisors LLP [Trustee of Hober Mallow Trust]	9,999,900	99.999	- 0	-	-	- 0	(99.999)
2	Catamaran Ventures LLP	-	-	- 0	4,49,99,900	99.9998	-	99.9998
3	**Abishek Laxminarayan	100	0.001	- 0	100	0.0002	- 0	(0.0008)
	Total	1,00,00,000	100	- 0	4,50,00,000	100	- 0	- 0

*Catamaran Advisors LLP (Trustee of Hober Mallow Trust), transferred 2,49,99,900 equity shares to Catamaran Ventures LLP on 05 September 2017.

**Abishek Laxminarayan holds 100 equity shares in the capacity of nominee of Catamaran Ventures LLP.

(iii) Change in promoters' shareholding (please specify, if there is no change)

Name of the shareholder	Shareholding at the beginning of the year		Cumulative shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
Catamaran Advisors LLP, [Trustee of Hober Mallow Trust]				
At the beginning of the year	9,999,900	99.999	-	-
Increase in shareholding during the year:				
Allotment of equity shares on 05 June 2017	1,50,00,000	-	-	-
Decrease in shareholding during the year:				
Transfer of shares on 05 September 2017	2,49,99,900	-	-	-
At the end of the year	-	-	-	-
Catamaran Ventures LLP	-	-	-	-
At the beginning of the year	-	-	-	-
Increase in shareholding during the year:				
Transfer of equity shares by Catamaran Advisors LLP, [Trustee of Hober Mallow Trust] on 05 September 2017	2,49,99,900	-	-	-
Allotment of equity shares on 22 February 2018	2,00,00,000	-	-	-
Decrease in shareholding during the year	-	-	-	-
At the end of the year	4,49,99,900	99.9998	4,49,99,900	99.9998
**Abishek Laxminarayan				
At the beginning of the year	100	0.001	-	-
Increase in shareholding during the year	-	-	-	-
Decrease in shareholding during the year	-	-	-	-
At the end of the year	100	0.0002	100	0.0002

(iv) Shareholding pattern of top ten shareholders (other than directors, promoters and holders of GDRs and ADRs):

Name of the shareholder	Shareholding at the beginning of the year		Cumulative shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
NIL				

(v) Shareholding of directors and key managerial personnel

Name of the shareholder	Shareholding at the beginning of the year		Cumulative shareholding during the year	
	No. of Shares	% of total Shares of the company	No. of shares	% of total shares of the company
**Abishek Laxminarayan				
At the beginning of the year	100	0.001	-	-
Increase in shareholding during the year:				
Decrease in shareholding during the year	-	-	-	-
At the end of the year	100	0.0002	100	0.0002

**Abishek Laxminarayan holds 100 equity shares in the capacity of nominee of Catamaran Ventures LLP.

Indebtedness				
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
				Amount in Rs
Particulars	Secured loans excluding deposits	Unsecured loans	Deposits	Total indebtedness
Indebtedness at the beginning of the financial year				
i) Principal amount	- 0	- 0	- 0	- 0
ii) Interest due but not paid	- 0	- 0	- 0	- 0
iii) Interest accrued but not due	- 0	- 0	- 0	- 0
Total (i+ii+iii)	- 0		- 0	- 0
Change in indebtedness during the financial year	- 0	- 0	- 0	- 0
i) Addition	- 0	- 0	- 0	- 0
ii) Reduction	- 0	- 0	- 0	- 0
Net change	- 0			
Indebtedness at the end of the financial year				
i) Principal amount	- 0	- 0	- 0	- 0
ii) Interest due but not paid	- 0	- 0	- 0	- 0
iii) Interest accrued but not due	- 0	- 0	- 0	- 0
Total (i+ii+iii)	- 0	- 0	- 0	- 0
Remuneration of directors and key managerial personnel				
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:				Amount in Rs
Sr. No.	Particulars of remuneration	Name of MD/WTD/Manager		Total amount
1	Gross salary			
	a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961		- 0	- 0
	b) Value of perquisites u/s 17(2) Income tax Act, 1961		- 0	- 0
	c) Profits in lieu of salary u/s 17(3) of Income tax Act, 1961		- 0	- 0
2	Stock Option		- 0	- 0
3	Sweat Equity		- 0	- 0
4	Commission		- 0	- 0
	- as % of profit		- 0	- 0
	- others, specify...		- 0	- 0
	Others, please specify		- 0	- 0
	Total (A)		- 0	- 0
	Ceiling as per the Act			
B. Remuneration to other directors:				
Particulars of remuneration	Name of the directors			Total amount
	-	-	-	
1) Independent Directors				
• Fee for attending board /committee meetings	- 0	- 0	- 0	- 0
• Commission	- 0	- 0	- 0	- 0
• Others, please specify	- 0	- 0	- 0	- 0
Total (1)	- 0	- 0	- 0	- 0
2. Other Non-Executive Directors				
• Fee for attending board /committee meeting	- 0	- 0	- 0	- 0
• Commission	- 0	- 0	- 0	- 0
• Others, please specify (Incentives)	- 0	- 0	- 0	- 0
Total (2)	- 0	- 0	- 0	- 0
Total (B)=(1+2)	- 0	- 0	- 0	- 0
Total Managerial Remuneration Overall Ceiling as per the Act	- 0	- 0	- 0	- 0
	-	-	-	- 0

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Amount in Rs.

Sr No.	Particulars of remuneration	Key Managerial Personnel			Total amount
		Rakesh Kumar Malik Chief Executive Officer & Principal Officer	Najeeb Khan Company Secretary	*Zubin Naval Mistry Chief Financial & Administrative Officer	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961	1,98,02,820	840,000	5,597,804	2,62,40,624
	(b) Value of perquisites u/s 17(2) Income tax Act, 1961	2,688,488			2,688,488
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	- as % of profit				
	- others, specify...				
	Others, please specify				
	Total	2,24,91,308	840,000	5,597,804	2,89,29,112

*Zubin Naval Mistry ("Zubin"), was appointed as Chief Administrative & Compliance Officer of the Company with effect from 01 October 2016. He was re-designated as the Chief Financial & Administrative Officer of the Company with effect from 03 October 2017. The salary details of Zubin stated above is for the period starting from 01 April 2017 to 31 March 2018.

Penalties / punishment/ compounding of offences:

Type	Section of the Companies Act	Brief description	Details of penalty /punishment/ compounding fees imposed	Authority[RD/NCLT/COURT]	Appeal made,if any(give details)
A.Company					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. Directors					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. Other officers at fault					
Penalty	-	-	N	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-